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**MANHOLE COVER LIFTING APPARATUS AND METHOD**

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Background of the Invention

a) Field of the Invention

5       The present invention relates to an apparatus  
and method for lifting and removing larger and  
heavier objects which are at least moderately  
awkward to handle, and more particularly to such  
an apparatus and method for lifting and removing  
10   manhole covers from a manhole frame, and  
accomplishing this in a manner to facilitate the  
process to avoid injury to workers.

b) Background Art

15       Manholes are commonly located at streets and  
other surface locations to provide access to some  
underground location, and these are commonly used  
in connection with utilities (power or  
communication lines, sewers, etc.). When a  
workman travels to a site where some operation has  
20   to be for the utility, sewer, or other need,  
there is generally the preliminary task of  
removing the manhole cover, from the surface  
opening, and then replacing it.

25       There are various ways of accomplishing this,  
sometimes a crowbar-like tool is used to lift one  
edge of the manhole cover, after which a lever-  
like tool is used to lift it first vertically so  
that it is clear of the surrounding frame and then  
laterally.

30       The task of replacing the manhole cover is  
accomplished in somewhat the same manner.

Statistically, the task of removing and  
replacing manhole covers has been found to be a

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high risk operation in terms of promoting and or causing back and other muscular-skeletal injuries. In spite of this, to the best knowledge of the applicant herein, there has not as yet been  
5 devised a method and/or apparatus for removing manhole covers which has gained wide commercial acceptance, where the apparatus and/or method is effective and convenient, while providing little or substantially no risk of injury to the workers.

10 A search of the patent literature has disclosed a number of U.S. patents that deal with the problem of lifting manhole covers and the like, these are the following:

15 U.S. 4,181,290 (Affolter) shows a device for lifting a manhole cover or other vault cover. There is a base comprising three legs extending outwardly from a center location. There is an upright post, and a lifting arm is connected to the center base portion of the three legs and also  
20 is connected to the post. This arm is raised to lift the cover.

25 U.S. 4,488,706 (Kono) shows a manhole cover lifting device where there is a lever bar having a hook on the lifting end, and a rearwardly positioned handle. The bar is supported by a pair of wheels at the lifting location. The hook end of the bar is secured to the cover, and the opposite end of the bar is pushed downwardly to lift the manhole cover, as the bar pivots on the  
30 wheels which serve as a fulcrum.

U.S. 4,365,925 (Gritz) shows a manhole cover lifter which is similar to the above mentioned Kona patent. There is a bar 11 that is supported

by a set of wheels. One end of the bar is secured to the cover, and the other has a handle which is pressed downwardly to lift the manhole cover as the bar pivots on the wheels which serve as a  
5 faucrum.

U.S. 4,321,003 (Cassel) shows what is called a "lifting tool" and shows an arrangement in which a pair of anti-friction bearings, as shown in  
10 sheet 4 of the drawings of the patent, are secured to a elongate lift rod that clamps at each end to the vault cover. A handle is lifted to rotate the rod which is connected to the inner races of the bearings. These are rotated 180° so that the cover is raised high enough to be rolled on the  
15 outer races of the bearings.

U.S. 4,746,098 (Abarotin) shows a manhole cover lifting device in which there is a bar that extends from a ground supported pivot 17 to a handle at the other end. There is a means to  
20 attach the cover to the bar aligned on the center of the bar. In use the bar is secured to the cover and the handle end is lifted. The parts are pivoted on the member 17 so that the cover is swung out of the way.

U.S. 4,653,728 (Mochizuki) shows a manhole cover lifter where there is a pair of wheels, each of which is fastened adjacent to a grasping means. There are series of lever associated with the wheel mounts that enable the lifting and  
25 maneuvering of the lid.  
30

U.S. 4,662,607 (Mochizuki et al) shows a lifting device where there is an elongate bar mounted to ground wheels at a location near the

manhole the bar is used as a lever, with the wheels acting as a fulcrum to lift the manhole cover by the front portion of the bar.

U.S. 1,890,765 (Zent) shows an amusement park ride which in Figure 17 is shown as a car with wheels mounted at angles to each other.

#### Summary of the Invention

The lifting assembly of the present invention is arranged to lift an object which has a width dimension and it at least partially surrounded by a base surface which has a substantial horizontal alignment component. In the preferred embodiment, this object is a manhole cover (also called a vault cover) surrounded by a paved or ground surface. This lifting assembly is particularly adapted to enable the object (or manhole cover) to be lifted in a manner which substantially eliminates, or at least substantially alleviates, potential injury to a workman who is to remove the manhole cover.

The assembly comprises a base support assembly which in turn comprises a beam structure which has a lengthwise axis, is adapted to be positioned above the object, and has a lengthwise dimension greater than the width dimension of the object. The beam structure has a first pivot end and second mobile end.

The base support assembly also comprises a pivot support connected to the beam structure and located at the pivot end thereof. The pivot support is arranged to support the pivot end of the beam structure from the base surface.

The base support assembly also comprises a mobile support connected to the beam structure and located at the mobile end thereof. This mobile support is arranged to support the mobile end of the beam structure from the base surface. The mobile support has mobile base surface engaging means to enable the mobile support to be moved laterally over the base surface.

There is a lifting mechanism mounted to the base support assembly and comprises a lift connection to engage the object and an actuating means to lift the object.

Thus, the lift assembly can be positioned over the object with the pivot support being on one side of the object and the mobile support being on an opposite side of the object, so that the lifting mechanism is able to raise the object. The lifting assembly can then be moved laterally so as to move the object.

In the preferred form, the pivot support is arranged to engage the base surface in a manner to remain at a substantially stationary base surface location during movement of the lifting assembly. More particularly, the surface engaging means of the mobile support is arranged to move in an arcuate path having the stationary location of the pivot support being at a center of said arcuate path.

In a preferred embodiment, the surface engaging means comprises a pair of base surface engaging wheels positioned on opposite sides of the mobile end of the beam structure. Each of the wheels has an axis of rotation, with the two axes

of rotation converging and meeting at substantially said location of the pivot support.

In the preferred form, the pivot support comprises a post extending downwardly from the pivot end of the beam structure, with a lower end of the post being adapted to engage the base surface.

In a preferred embodiment, the lifting mechanism comprises a lifting jack mounted to the beam structure at an intermediate location between the pivot end and the mobile end of the beam structure. In the preferred form, the jack has a substantially vertical lift axis, and the jack has a lifting member connected to a lower end thereof to said lift connection. The jack has actuating means to raise the lifting member relative to the beam structure. In a specific embodiment, the jack is a screw jack, comprising an actuating screw vertically align in the jack, and further comprising manually operable crank means to turn the actuating screw.

A preferred form of the lift connection comprises a pair collet fingers adapted to be positioned in a lift opening of the object. There is means to expand the collet fingers outwardly to come into gripping engagement with the surface of the lift openings.

Also, there is provided an auxiliary lift bar which has an auxiliary lift connection to lift the object at an off-center connecting location spaced from a center of gravity of said object. The lift bar has a lifting mechanism connecting portion adapted to be located near a center of gravity of

the object and be connected to the lifting mechanism near the center of gravity. The auxiliary bar has a torque portion spaced from said center of gravity location, the torque  
5 portion has a torque member engaging said object at a location spaced from the off center connecting location to apply a torque from the auxiliary arm to said object so that said object is lifted entirely from said base surface.  
10 Desirably, the lifting mechanism connecting portion has adjustable connecting means whereby said auxiliary lift bar is able to engage said object at various locations spaced from the center of gravity.

15 In the method of the present invention the beam structure is positioned over the object which is then lifted, as described above. The lifting assembly is moved laterally.

Other features will be apparent from the  
20 following detailed description.

#### Brief Description of the Drawings

Figure 1 is an isometric view illustrating the apparatus of the present invention in its  
25 operating position;

Figure 2A through 2C are side elevational views of the apparatus of the present invention operating to lift a manhole cover out of its perimeter mounting frame;

30 Figure 3A is a top plan view showing the apparatus in its position above a manhole cover to lift the manhole cover vertically;

Figure 3B is a top plan view similar to Figure 3A, but showing the manhole cover having been moved to a side location away from the manhole;

5        Figures 4A, 4B and 4C are top plan views showing different locations or patterns on the manhole cover for a access lifting opening or openings;

10       Figure 5 is an isometric view illustrating a first embodiment of a lifting attachment used to engage the manhole cover;

15       Figure 6 is a side elevational view showing the manhole cover in section, with the lifting attachment being positioned within the lift access opening of the manhole cover;

      Figure 7 is a view similar to Figure 6, showing an expansion wedge inserted into the lifting attachment of Figure 6 to engage the manhole cover;

20       Figure 8 is an isometric view of an auxiliary lift bar of the present invention;

25       Figure 9 is a side elevational view, showing the manhole cover in section, with the auxiliary lift bar being positioned to lift the manhole cover which has an extreme off-center lift access opening;

      Figure 10 is a top plan view of a manhole cover having a vented or grated surface with a plurality of openings over the entire surface;

30       Figure 11 shows a lift hook used for the manhole cover of Figure 10;

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Figure 12 illustrates a manhole cover having a cross-finger in a recess which provides for lifting engagement;

Figure 13 is a side elevational view showing a lift hook member engaging the finger as shown in Figure 12;

Figure 14 is a longitudinal sectional view showing the screw jack that is used in the embodiment shown in Figure 1 to raise the manhole cover;

Figure 15 shows the apparatus of the present invention being positioned so that it can be rolled along a street or ground surface.

#### 15 Description of the Preferred Embodiment

The apparatus 10 of the present invention is shown in Figure 1 in its operating position to lift a manhole cover 12 out of its surrounding support frame 14. More recently a "manhole cover" is often referred to as a "vault cover" or a "utility vault cover". It is to be understood that the term "manhole cover" also refers to these. This apparatus 10 comprises two main components, namely a lift support base assembly 16 and a lifting mechanism 18.

The base assembly 16 comprises three main components. First, there is a main lifting bar 20 which in the operating position of Figure 1 extends entirely across the manhole cover 12 so that both ends of the bar 20 extend beyond the perimeter 22 of the manhole cover 12. This bar 20 has a pivot end 24 and a mobile end 26. This bar functions as a beam structure and could also be

configured as a truss or other support member to transfer lifting loads from a central location out to the end locations.

5 The second component of the base assembly 10  
is a pivot support provided as a post 28 having  
an upper end 30 fixedly connected to the lift bar  
20 and extending downwardly to its lower pavement  
engaging end 32. (Since a manhole is generally  
10 positioned at a paved location, such as in a  
street, it will be assumed that the surface  
surrounding the manhole frame 14 is the paved  
surface 33.) The pivot support could in some  
instances be structured so as to be able to move  
laterally over the ground surface but functions in  
15 most instances quite reliably by having ground  
engagement at a single ground location where it is  
able to pivot at that location. One advantage of  
this is that it is easier for one man to remove  
the cover by himself. <sup>Also</sup> ~~also~~, with a stationary  
20 pivot location, when the manhole cover is  
replaced, the pivot post 28 keeps the position of  
the cover 12 constant relative to the arcuate path  
it travels to make it much easier to align the  
cover 12 with the perimeter frame 14, thus  
25 facilitating replacement.

The third main component is a mobile support  
member 34 which comprises a support post 36 and a  
pair of arms 38 extending generally oppositely  
from one another from the lower end of the post  
30 36. Each arm 38 has at its end a related ground  
engaging wheel 40.

Each of the arms 38 comprises a pair of  
elongate arm plates 42 which are spaced apart from

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one another a short distance so that the wheel 40 can be mounted between the outer end portions of its related pair of arm plates 42, so that the wheel 40 can freely rotate about its axle 44.

5 With reference to Figures 3A and 3B, it can be seen that the two pair of arms 38 (made up of arm plates 42) are not diametrically opposed at a  $180^\circ$  angle. Rather, these two arms 38 are angled with respect to one another so that the interior angle  
10 formed by these two arms 38 (i.e. the interior angle being that angle facing the pivot location 24 indicated at "b" in Figure 3B) is slightly less than  $180^\circ$ . This angle is selected so that the two axes of rotation 46 of the wheels 40 converge and  
15 meet at the end pivot location 24. Thus, it can be seen that these wheels 40 are aligned so that as the apparatus 10 is rotated about the pivot location 24, these wheels 40 follow an arcuate path having the pivot location 24 as its center of  
20 the arcuate path of travel.

It will be noted that at the top of the post 36 at the mobile end 26 of the lifting bar 20 there is mounted a rotatable wheel 48 having its axis of rotation perpendicular to the length of  
25 the bar 20. Thus, as can be see in Figure 15, the apparatus 10 can be positioned to rest on the wheel 48 so that the apparatus 10 can easily be moved along the paved surface 33, with the wheel 48 providing ground support. Also an eyebolt 51  
30 is connected to the mobile end 26 of the bar 20, so that this could be engaged to pull the mobile end laterally (see Figure 3B).

5 The bar 20 is conveniently made as two  
elongate plate members 50 spaced laterally from  
one another. The ends of the two elongate plates  
50 are fixedly connected at one end to the post 28  
and at the other end to the post 36 so that a  
substantially rigid bar 20 is formed. Also, at a  
location between the pivot post 28 and the lifting  
mechanism 18, there is provided a stabilizing post  
52 which is fixedly mounted to the bar 20 by means  
10 of a mounting plate 54. This stabilizing post 52  
has a lower end 56 positioned a short distance  
above the plane defined by the lower end 32 of the  
pivot post 28 and the lower surfaces of the  
pavement engaging wheels 40. the function of this  
15 stabilizing post 52 is to help position the  
manhole cover 12 as it is being lifted, and this  
will be described in more detail later herein.

20 The aforementioned lifting mechanism 18 is  
shown in this preferred embodiment as a screw jack  
or screw actuator. This lifting mechanism 18  
comprises an elongate square housing 58 which is  
fixedly mounted by means of a plate 60 to the bar  
50 at a mid-location along the bar 20 so that in  
the use position, as shown in Figure 1, the  
25 lifting mechanism 18 is centered on the manhole  
cover 12. At the lower end of the lifting  
mechanism 18 there is a lift attachment 62.  
Extending upwardly from the elongate housing 58  
there is an actuating rod 64 formed at its upper  
30 end with an actuating crank 66. By rotating the  
crank 66, the lift attachment 62 can be raised or  
lowered.

The internal structure showing the screw drive of the lifting mechanism 18 is illustrated in Figure 14. There is a threaded actuating screw or drive member 68 which is fixedly attached at its upper end to a drive nut 70 that is mounted by a thrust bearing collar 72 at an upper end plate 74 of the elongate housing 58. This nut 70 attaches through a connecting portion 76 to an upper end of the drive screw 68. The rod 64 has at its lower end a flat sided head portion to engage the upper Allen's head opening 78 of the nut 70.

Positioned within the elongate housing 58 is an interior lifting member 80 having a square configuration and fitting snugly within what in cross section is the square shaped confines of the interior of the housing 58. This member 80 has an upper end portion 82 having a circular threaded opening that engages the threads of the actuating screw 68. Thus, rotation of the drive screw 68 in one direction or the other causing the lifting member 80 to be either raised or lowered.

The structure and functioning of the aforementioned connecting attachment 62 will now be described with reference to Figures 5 through 7. This connecting member 62 comprises two collet like fingers 84, each of which has in cross-section a nearly semi-circular configuration so as to have an outer curved surface 86. Each member 84 has a semi-circular expanded lip portion 88 at the bottom portion. The upper ends of the fingers 84 are fixedly connected to (or formed integrally with) respective mounting members 90 which have

interior openings 92 to receive a connecting pin 94. The connecting pin in turn connects to lower ears 96 of another connecting member 98. The member 98 has upper ears 100 with openings 102 to receive a connecting pin 104. The pin 104 connects to an intermediate loop-like link 106 that in turn connects by one of its loops to a pin 108 of an upper connector 110. The connector 110 fits within the lower open end of the lifting member 80 and has aligned through openings that match with openings in the member 80 so that a retaining pin 112 can be placed through the openings of the member 80 and the retaining member 110 to retain the connecting attachments 62 in place. The pin 112 has a retaining detente 114 at one end and at the other end a ring 116 by which it can be more easily removed and put back into place.

To describe the operation of this connecting attachment 62, it is first attached to the lifting member 80 as shown in Figure 6. With the apparatus 10 being at the position shown in Figure 1, the jack crank handle 66 is rotated to lower the connecting attachment 62 into the lift access opening 118 of the manhole cover 12. With the connecting attachment 62 positioned as shown in Figure 6, the bar 20 is moved a short distance laterally so that the upper part of the collet fingers 84 are accessible from immediately above the fingers 84. Then a positioning wedge 120 (see Figure 7) is pushed between the collet fingers 84 so as to spread these apart and into tight

gripping engagement with the surface of the manhole cover opening 118.

To describe the overall operation of the present invention, the apparatus 10 is assembled and put in its operating position as shown in Figure 1. The crank handle 66 is rotated to lower the lifting member 80 downwardly so that the fingers 84 of connecting attachment 62 moves into manhole cover opening 118. (For ease of illustration, the entire linkage of the connecting attachment 62 is not shown in Figure 1.) The collet fingers 84 of the connecting attachment 62 are spread apart as shown in Figure 7 so that these collet fingers 84 are in firm engagement with the sidewall of the opening of the manhole cover opening 118 and outwardly projecting lips 88 is firmly engaged with the underside of the vault cover.

In the position of Figure 1, the pivot post 28 and the post 36 of the mobile section 34 are on opposite sides of the manhole cover 12 and diametrically opposite one another relative to the circle defined by the manhole cover 12. The crank handle 66 is rotated in a direction to raise the lifting member 80 and thus lift the manhole cover 12 free of its support frame 14. If the manhole cover 12 is formed symmetrically, and if the manhole cover opening 18 is centrally located, then the manhole cover 12 will be lifted without much tilting. In the event the manhole cover 12 is weighted more to one side than the other so that there is some tilting, as can be seen in Figures 2A, 2B, and 2C, the apparatus can be

positioned so that the positioning post 52 is located at the part of the cover 12 that tends to be tilted upwardly. Thus, when the lifting member 80 continues to be raised, the cover 12 will be raised in a near level position, as shown in Figure 2C.

Reference is now made to Figure 3A and 3B. In Figure 3A, the cover 12 is shown having been lifted free of the manhole perimeter frame 14. Then the mobile end portion 26 of the apparatus 10 is pulled laterally as indicated by the arrow 121 in Figure 3B. To avoid any unwanted downward bending motion of the person operating the apparatus 12, it is a simple matter for the workman to take a T-bar hook, and move the hook to engage an eye-bolt 51 and pull the mobile end 26 of the bar 20 laterally and then pull the mobile end of the bar 20 laterally while the workman is in a more upright position.

When the manhole cover 12 has been moved away from over the manhole, the crank 66 can be rotated in the direction to lower the manhole cover so that it rests on the adjacent pavement 32, or it can remain suspended. In that instance, the wheels 40 may be blocked with a small wedge-like member to avoid unwanted movement.

In reviewing the overall operation of the present invention, it can be seen that the entire operation can be accomplished with the workman himself applying substantially no vertical forces. There is little lifting force is required, except possibly to maneuver the apparatus 10 into place. Also, there is no requirement to exert any



significant downward force, as is the case in a number of prior art devices which are designed to raise manhole covers. The only force which would be required is to use the arm to rotate the crank 66, and also to pull the mobile end 26 of the apparatus laterally. A review of the human dynamics involved in the entire operation of the apparatus 10 reveals that most (if not all) of the motions and apply forces exerted by the human body which more likely result in a back injury (or other serious injuries) are, if not totally eliminated, at least substantially alleviated.

To discuss some of the other features of the present invention. In Figure 4A there is shown the manhole cover 12 where there is a centrally located access lift opening 118. In Figure 4B, there is shown a manhole cover 12a having three openings 118a arranged in a triangular pattern, with the triangle being centered in the cover 12a. In 4C, there is a cover 12b having a single access lift opening 118b which is off center and closer to the edge.

With the arrangement of Figure 4B, the cover 12a could be lifted as shown in Figure 2B with the use of the positioning member 52. However, the manhole cover 12b is <sup>more</sup> ~~move~~ difficult.

In order to lift the manhole 12b (shown in Figure 4C) so that it would remain substantially horizontal there is additionally provided, as shown in Figures 8 and 9, an auxiliary lift bar 124. This bar 124 has an elongate mounting arm 126 having a plurality of spaced notches 128 along its lower edge. This arm 126 is connected to a

link, such as shown at 106, that is in turn  
connected to the lifting member 80. The arm 126  
is in turn rigidly connected to (or formed  
integrally with) what can be functionally  
5 described as a torquing member 130 which has a  
rectangular configuration and a middle opening  
132. At the outside end of the torquing member,  
there is a threaded through opening 134 to receive  
a threaded positioning rod 136 having a turning  
10 handle 138. Instead of forming threads in the  
opening 134, a threaded nut can be welded at the  
opening 134 to position the rod 136. Also, the  
torquing section 130 has a pair of aligned through  
openings 140 to receive a pin, such as shown at  
15 94, to mount the two collet fingers 84. The  
wedging member 120 can thus be inserted through  
the central opening 132 to spread the collet  
fingers 84 apart and come into secure engagement  
with the manhole cover opening 118b, or one of the  
20 openings 118a.

To explain the operation of this auxiliary  
arm 124, let us assume that the apparatus 10 is in  
place (as illustrated in Figure 1,) and that the  
lifting member 80 of the lift mechanism 18 is in  
25 the position shown in Figure 9. As this lift  
member 80 is raised, the collet fingers 84 will  
lift the manhole cover 12b at the location of the  
collet fingers 84. As the cover 12b is raised a  
short distance, it will come into engagement with  
30 its lower end 142 of the member 136. Further  
raising of the lifting member 80 will thus raise  
the entire cover 12b which would remain  
substantially horizontal.

The reason for this is that the lifting force from the member 80 is applied at a central location in the manhole cover 12b. Because the lever arm between the location of the collet fingers 84 and the member 136 is rather short, in comparison with the distance from the collet fingers 84 to the center of the manhole cover, substantial vertical forces will be exerted by the collet fingers 84 and the rod 136. These in turn would cause a moment to be applied against the manhole cover 12b to raise the entire manhole cover as the lifting member 80 is raised.

Figures 10 and 11 show another type of manhole cover 12c where there is a grid-like cover 144 made up of a plurality of bars 146. In this instance, the lifting member 80 is simply provided with a hook member 148 to accomplish the lifting operation.

Figure 12 shows another type of manhole cover 12d where there is a cross finger 150 positioned in a recess 152 formed in the manhole cover 12d. The aforementioned hook 148 is connected to the lifting member 80 to lift this cover 12D. It is to be understood that the auxiliary lifting bar 124 (see Figures 8 and 9) could be used to accomplish this lifting motion, by connecting the hook member 148 to the pin 94 in the manner shown in Figure 9.

It is to be recognized that various modifications could be made from the present invention without departing from the basic teachings thereof.